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TRANSMITTAL FORM

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Total Number of Pages in This Submission

2

Application Number

10/573,728

Filing Date

March 28, 2006

First Named Inventor

Peter C. Williams et al.

Art Unit

not yet assigned

Examiner Name

not yet assigned

Attorney Docket Number

22188/07113

ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/
Incomplete Application

☐

Reply to Missing Parts
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

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CD, Number of CD(s) _____

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Landscape Table on CD

Remarks

☐

After Allowance Communication to TC

☐

Appeal Communication to Board
of Appeals and Interferences

☐

Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify
below):

Statement Under 37 CFR 3.73(b) - 1 pg.
return postcard

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

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Signature

Kenneth J. Smith

Printed name

Kenneth J. Smith

Date

4/26/06

Reg. No.

45,115

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4/27/06

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Swagelok CompanyApplication No./Patent No./Control No.: 10/573,728 Filed/Issue Date: March 28, 2006Entitled: FITTING FOR METAL PIPE AND TUBINGSwagelok Company, a corporation

(Name of Assignee)

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is _____ %)

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 017514, Frame 0795, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Kenneth J. Smith
Signature4/26/06
Date

Kenneth J. Smith

216-622-8674

Printed or Typed Name

Telephone Number

Attorney of Record, Reg. No. 45,115
Title

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